

CLG GUIDANCE: ISSUES A LOCAL PENSION BOARD MAY CONSIDER:

- Review regular compliance monitoring reports which shall include decisions made under the Regulations.
- Review management, administrative and governance processes and procedures in order to ensure they remain compliant with regulations.
- Review the compliance of the scheme employer with its duties under the regulations.
- Assist with the development of and continually review such documentation as is required by the regulations.
- Assist with the development of and continually review scheme member and employer communications as required by regulations.
- Monitor complaints and assist with the application of the Internal Dispute Resolution Process.
- Review Pensions Ombudsman cases.
- Review the implementation of revised policies and procedures following the changes to the Scheme.
- Review the arrangements for the training of Board members and those elected members and officers with delegated responsibilities for the management and administration of the Scheme.
- Review what discretions would be regarded as employer or scheme manager discretions.
- Review the outcome of internal and external Audit reports.
- Review the compliance of particular cases, projects or process on request of any committees with delegated Scheme Manager functions.
- Review the compliance of the scheme employer with its duties under the regulations.
- Assist with the development of and continually review such documentation as is required by the regulations.
- Assist with the development of and continually review scheme member and employer communications as required by regulations.
- Review the risk register as it relates to the scheme manager function of the authority.